1	Numerical study of transport pathways of ¹³⁷ Cs from forests to freshwater fish living in
2	mountain streams in Fukushima, Japan
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26	lighlights
27	• Cs-137 concentrations in some freshwater fish in Fukushima remain high, so a model
28	was developed to assess uptake pathways.
29	• Model assumed three export pathways from forests which supply soluble ¹³⁷ Cs to
30	rivers were relevant for uptake by fish.
31	• Pathways were direct litter fall into rivers, lateral inflow from litter, and transfer from
32	soil via runoff and groundwater.
33	• Data on ¹³⁷ Cs in forests, river water and freshwater fish measured across Fukushima
34	were used for model calibration.
35	• Fish ¹³⁷ Cs concentrations predicted to reach steady state after around 10 y due to
36	equilibration of ¹³⁷ Cs cycle in forests.

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37 Abstract

The accident at the Fukushima Dai-ichi Nuclear Power Plant in 2011 released a large 38 quantity of radiocesium into the surrounding environment. Radiocesium concentrations in 39 some freshwater fish caught in rivers in Fukushima Prefecture in October 2018 were still 40 higher than the Japanese limit of 100 Bq kg⁻¹ for general foodstuffs. To assess the uptake of 41 ¹³⁷Cs by freshwater fish living in mountain streams in Fukushima Prefecture, we developed a 42compartment model for the migration of ¹³⁷Cs on the catchment scale from forests to river 43water. We modelled a generic forest catchment with Fukushima-like parameters to ascertain 44 the importance of three export pathways of ¹³⁷Cs from forests to river water for the uptake of 45¹³⁷Cs by freshwater fish. The pathways were direct litter fall into rivers, lateral inflow from 46 the forest litter layer, and lateral transfer from the underlying forest soil. Simulation cases 47modelling only a single export pathway did not reproduce the actual trend of ¹³⁷Cs 4849 concentrations in river water and freshwater fish in Fukushima Prefecture. Simulations allowing a combined effect of the three pathways reproduced the trends well. In the latter 50simulations, the decreasing trend of ¹³⁷Cs in river water and freshwater fish was due to a 51combination of the decreasing trend in the forest leaves/needles and litter compartments, and 52the increasing trend in soil. The modelled ¹³⁷Cs concentrations within the forest compartments 53were predicted to reach an equilibrium state at around ten years after the fallout due to the 54equilibration of ¹³⁷Cs cycling in forests. The model suggests that long term ¹³⁷Cs 55concentrations in freshwater fish in mountain streams will be controlled by the transfer of 56¹³⁷Cs to river water from forest organic soils. 57

59 1. Introduction

60 The accident at the Fukushima Dai-ichi Nuclear Power Plant (FDNPP) in 2011 released a large quantity of radionuclides into the environment (Saito and Onda, 2015). Cesium-134 61and ¹³⁷Cs, with half-lives of 2.1 and 30 years respectively, remain as the main radionuclides 62within the environment (Evrard et al., 2015). In the first few years following the accident, 63 radiocesium concentrations in most agricultural and marine fish products dropped quickly 64 65 (Wada et al., 2016b; Tagami and Uchida, 2016). However, as of October 2018, radiocesium concentrations in some freshwater fish caught within Fukushima Prefecture remain higher 66 than the Japanese limit of 100 Bq kg⁻¹ for general foodstuffs (Wada et al., 2016a, 2019; 67 68 Ministry of Agriculture, Forestry and Fisheries, 2018a; Fukushima Prefecture, 2018ab). Fish caught both inside and outside the evacuation zone surrounding the FDNPP have exceeded 69 this limit (Table 1). Examples include ayu (*Plecoglossus altivelis*), caught with up to about 2 70kBq kg^{-1 137}Cs in rivers near the FDNPP in 2016, masu salmon (Oncorhynchus masou) 71(resident form), e.g. 126 Bq kg^{-1 137}Cs in a sample caught in a tributary of the Abukuma river 72in April 2018, and white-spotted char (Salvelinus leucomaenis), 195 Bq kg^{-1 137}Cs in a sample 73caught in a tributary of the Abukuma river in October, 2018. 74

Freshwater fish caught in lakes and rivers traditionally make up a significant part of the Japanese diet. It is important therefore to understand the mechanisms of radiocesium export from overland to rivers which influence radiocesium concentrations in freshwater fish. It is thought that radiocesium exported from forests into the aquatic environment is the main source of radiocesium taken up by some species of freshwater fish living in mountain streams in Fukushima Prefecture (e.g. Murakami et al., 2014), as forests cover a major part of the contaminated catchments (c.a. 64% by area, Yamaguchi et al., 2014).

The main mechanism of export of radiocesium from forests to rivers is overland erosion and discharge of radiocesium-bearing soil particles into watercourses, essentially during heavy rainfall events (Ueda et al., 2013; Nagao et al., 2013; Yamashiki et al., 2014). Around
0.05 – 0.19% of the radiocesium inventory of Fukushima river catchments discharges into
rivers annually due to soil erosion (Niizato et al., 2016). This mechanism is not however
considered to be the main source of the radiocesium taken up by freshwater fish, as this
radiocesium is strongly absorbed to soil particles and barely desorbs on the timescale of
rainfall events (Murota et al., 2016; Mukai et al., 2018).

Dissolved radiocesium in river water is the most relevant fraction for biological 90 availability (International Atomic Energy Agency, 2010). The dissolved fraction comprises 91around 12 - 91% of all radiocesium discharged through rivers under base flow conditions 92(Ochiai et al., 2015; Eyrolle-Boyer et al., 2016; Tsuji et al., 2016). It has been suggested that 93 degradation of organic matter in forest litter could provide a source of dissolvable 94radiocesium for input into rivers (Sakuma et al., 2018). Submerged litter in rivers is 95considered to be another source of dissolvable ¹³⁷Cs in aquatic ecosystems (Sakai et al., 2015; 96 2016ab). In the field of nutrient cycling in freshwater ecosystems, previous studies have 97proved that direct litter fall and litter carried by surface runoff contributes to organic matter 98input into rivers (e.g. Kochi et al., 2010; Tonin et al., 2017). Thus the literature on inflows of 99radiocesium and other materials into rivers implies that there should be several radiocesium 100 101 transport pathways from forests to rivers.

The objective of this study was to examine the ¹³⁷Cs transport pathways from forests that affect ¹³⁷Cs concentrations in freshwater fish living in mountain streams in Fukushima Prefecture. A compartment model was developed that simulates dissolved ¹³⁷Cs circulation on the catchment scale between forests, rivers and freshwater fish. It was assumed for the model that only three transport pathways from forests leading to the input of dissolved ¹³⁷Cs into river water were relevant for uptake by freshwater fish. The pathways were direct litter fall into rivers, lateral inflow from litter layers in forests, and lateral inflow from underlying forest soils. The pathways encompass the input of litter, and the input of dissolved ¹³⁷Cs produced
by leaching from organic matter and desorption from soil minerals via surface runoff and
groundwater flows.

We applied the model to a generic Fukushima-type forest catchment to evaluate the 112relative importance of the three transport pathways from forests for ¹³⁷Cs uptake by 113freshwater fish. Datasets on ¹³⁷Cs cycling in several forests in Fukushima Prefecture were 114 used for model calibration and validation. Measurements for ¹³⁷Cs concentrations in river 115water and freshwater fish from the Abukuma River and other coastal catchments in 116 Fukushima Prefecture were used for discussion purposes and to provide comparisons for the 117simulation results. Note only ¹³⁷Cs was modelled as the transfer dynamics of ¹³⁴Cs were 118 assumed to be identical. 119

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121 2. Methods

122 2.1 Compartment model

123 A new compartment model was developed for this study to assess, on the river catchment 124 scale, ¹³⁷Cs transfer between leaves/needles, branches, bark, sap wood, heart wood, litter layer 125 and soil in forests, discharge into rivers, and uptake by freshwater fish. For each compartment 126 *i*, the generic mass balance equation for ¹³⁷Cs inventory A_i (Bq) is

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$$\frac{dA_i}{dt} = -\left\{\lambda_p + \sum_{j=1, i \neq j}^n \lambda_{ij}\right\}A_i + \sum_{j=1, i \neq j}^n \lambda_{ji}A_j$$
(1)

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130 where *t* is time (yr), λ_p is the physical decay constant of ¹³⁷Cs (yr⁻¹) and λ_{ij} is the transfer rate 131 from compartment *i* to compartment *j* (yr⁻¹).

132 Figure 1 shows a schematic of the compartments in the model. Each forest type

comprised seven compartments: leaves/needles, branches, bark, sap wood, heart wood, litter
layer and soil. The cycling of radiocesium in forests in Fukushima Prefecture broadly depends
on whether the stand is deciduous or coniferous (Imamura et al., 2017). Deciduous and
coniferous forests were therefore represented as separate entities in the model, i.e. each type
was given its own group of seven forest compartments (Fig. 2).

138 A river compartment was linked to the leaves/needles, litter layer and soil compartments 139in both deciduous and coniferous forests. These links model implicitly the following physical processes. The link from the leaves/needles compartment models litter fall directly into rivers 140and its subsequent breakdown which leaches ¹³⁷Cs into river water. The link from the litter 141142layer models litter transferred by surface runoff into rivers and its subsequent breakdown, and also leaching from litter on the forest floor which inputs dissolved ¹³⁷Cs into surface runoff 143and groundwater flows, and on into rivers. The link from the soil compartment models ¹³⁷Cs 144145leaching from underlying forest soils into surface water and groundwater and subsequent flow into rivers. Note submerged litter was not modelled as a separate compartment, as ¹³⁷Cs 146147leaching from submerged litter was considered to occur on a timescale faster than that relevant for the compartment model. This was based on experiments showing ¹³⁷Cs leaching 148from submerged litter occurs over the timescale of a few days (Sakai et al., 2015). 149

150A downstream compartment was connected to the river compartment and used as a sink for the model catchment. Thus in total there were sixteen main compartments in the model. 151An interaction matrix for the compartments is shown in Fig. 2. The compartments and 152processes in our model are similar to existing forest models (e.g., International Atomic Energy 153Agency, 2002; Nishina and Hayashi, 2015; Nishina et al., 2018; Thiry et al., 2018). The 154compartments were chosen to coincide with the main types of monitoring data available for 155Fukushima Prefecture from previous studies (Ministry of Agriculture, Forestry and Fisheries, 1562018b; Komatsu et al., 2016; Imamura et al., 2017). 157

A fish compartment was connected to the river compartment to model ¹³⁷Cs uptake by 158freshwater fish. As previous studies reported, freshwater fish take up ¹³⁷Cs not from water but 159mainly from food, and the apparent transfer factor, i.e. the ratio of ¹³⁷Cs concentration in fish 160to that in water, depends on the trophic level (e.g., Rowan et al., 1998; Tuovinen et al., 2012; 161 Sundbom et al., 2003). On the other hand, commonly used bioaccumulation models assume 162that radionuclide concentrations in aquatic organisms are in equilibrium with a reference 163 medium in the surrounding environment such as water or sediment (International Atomic 164Energy Agency, 2010). This assumption means models can be significantly simplified by not 165having to model full details of the food chain. Moreover detailed food chain models are often 166unjustified due to large uncertainties in the transfer parameters between food chain elements. 167Figure 3 shows a strong relationship between ¹³⁷Cs concentrations in water and in fish in 168Fukushima, which justifies the idea that river water can be used as a reference medium. 169

In this study, the transfer model from river water to fish was identical for all species. The model represented all the dynamic processes of food chains (uptake to fish via plankton, worms etc.) implicitly by using the following pseudo first-order kinetic equation

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$$\frac{dc_{fish}}{dt} = k \left(Tc_{water} - c_{fish} \right)$$

$$k = \begin{cases} k_{up} & \text{when } Tc_{water} \ge c_{fish} \\ k_{ex} & \text{when } Tc_{water} < c_{fish} \end{cases}$$
(2)

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where c_{fish} and c_{water} are the ¹³⁷Cs concentrations in fish (Bq kg⁻¹) and water (Bq m⁻³), respectively, *T* is the transfer factor in the equilibrium state (m³ kg⁻¹), *k* is the kinetic rate (yr⁻¹). Different kinetic rates were adopted for uptake, k_{up} , and excretion, k_{ex} . c_{water} was obtained by dividing the total ¹³⁷Cs inventory in river water by the total river water volume. It was assumed that ¹³⁷Cs uptake by fish did not alter the mass balance in the rest of the system. 181

182 2.2 Modelling of generic Fukushima-type forest catchment

In this study we modelled a generic river catchment by using parameters with general 183applicability for contaminated forest catchments in Fukushima Prefecture. The model 184included only forest and river land uses, i.e. no urban, paddy or grass areas were included. 185186 The ratio of deciduous to coniferous forest by area was assumed to be 4 to 1, in accordance with the average for Fukushima Prefecture measured from a land use map (Japan Aerospace 187Exploration Agency, 2018). The ratio of river to the forest area was assumed to be 0.01, based 188 on the land use map. In order to calculate the total river water volume, a mean river water 189190 depth of 0.4 m was assumed, based on field measurements of rivers such as the Ukedo River in Fukushima Prefecture (Onishi et al., 2014). The annual precipitation was assumed to be 1911200 mm yr⁻¹ and the ratio of runoff to precipitation was 0.6, based on the average for 192193Fukushima Prefecture (Japan Meteorological Agency, 2018; Unoki, 2010).

Inventories of ¹³⁷Cs in each compartment were normalized by the total inventory per unit area of the catchment for all calculations. Thus, the results are independent of total ¹³⁷Cs inventory of the catchment. The model assumes a homogeneous ¹³⁷Cs distribution over the catchment, i.e. constant ¹³⁷Cs radioactivity per area. The normalization means the results are also independent of the catchment size.

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200 2.3 Setting of transfer parameters and initial state of model

The main parameters in the model are the transfer rates λ_{ij} between the compartments, the ¹³⁷Cs transfer factor between fish and river water *T*, and its associated rate constant *k*. The initial state of the model was the relative radioactivity of each compartment on March 15, 204 2011. The following sections describe the way the parameters and the initial state of the model were set. In brief, the transfer rates between forest compartments were obtained by fitting monitored ¹³⁷Cs concentrations within four forests in Fukushima Prefecture between 207 2011-2017. Transfer rates for the forest to river water pathways (direct litter fall, lateral inflow 208 from litter and from soil) were investigated by numerical exploration of the parameter space. 209 Transfer parameters for ¹³⁷Cs uptake by freshwater fish were assigned based on measured 210 ¹³⁷Cs concentrations of freshwater fish and river water. The transfer rate from rivers to the 211 downstream compartment was fixed to ensure the overall mass balance of water in the 212 system.

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214 2.3.1 Inverse analysis to estimate fallout interception ratios and transfer rates in forests

The initial ¹³⁷Cs inventories and transfer rates for forest compartments were estimated 215by fitting monitoring results from one deciduous forest (konara oak, Quercus serrata) in 216Otama village (OT-Q), and three coniferous forests in Kawauchi village in Fukushima 217218(Ministry of Agriculture, Forestry and Fisheries, 2018b). The Kawauchi coniferous forests were the hinoki cypress (Chamaecyparis obtusa) forest, KU1-H, and Japanese cedar 219220(Cryptomeria japonica) forests, KU1-S and KU2-S (Imamura et al, 2017). As the goal of the study was to examine the ¹³⁷Cs transport pathways from forests affecting the ¹³⁷Cs 221concentration of freshwater fish, it was considered reasonable to use a backwards fitting 222223approach to obtain the transfer parameters pertinent to internal cycling in the forests. An approach based on direct measurements of the transfer rates was not considered feasible. 224

The atmospheric fallout at March 15, 2011 was assumed to be the sole input of ¹³⁷Cs to the system. No inventory was applied to sap wood, heart wood and soil beneath the litter layer in the initial state. The relative interception of the ¹³⁷Cs fallout by the leaf/needle, branch, bark and litter compartments and the transfer rates between the forest compartments were obtained by minimizing the objective function

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231
$$f = \sum_{i} w_i (\log y_{sim,i} - \log y_{meas,i})^2.$$
 (3)

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Here $y_{sim,i}$ and $y_{meas,i}$ are respectively the simulated and measured relative ¹³⁷Cs concentrations in compartment *i* at time *t* (*i* = leaves/needles, branch, bark, sap wood, heart wood, litter layer and soil), and w_i are weights that were chosen manually as to obtain reasonable fitting results.

The objective function was minimized using an iterative process whereby a $\pm 4\%$ alteration was made to one parameter at a time. If the change resulted in *f* decreasing, the changed parameter was accepted. The iterative process of testing trial changes to the parameters continued until no further changes were acceptable and the process had converged. During this fitting process, the outward flux of ¹³⁷Cs from forests was neglected.

The initial interception ratios and forest compartment transfer rates estimated by the inverse fitting analysis were cross-checked against an independent set of results from Kato et al. (2017, 2018b). The Kato et al. results are initial interception ratios and radiocesium transfer fluxes from the tree canopy to the forest floor in a mixed-broadleaf forest, mature cedar forest, and a young cedar forest in Kawamata town in Fukushima.

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247 2.3.2 Numerical exploration of transfer parameters from forests to rivers

No appropriate measurements were available for the relative importance of the three transfer pathways in the model from forests to rivers. Thus we investigated the transfer parameters for these pathways numerically by exploring the parameter space with various simulation cases (Table 2). It was assumed that the transfer rates for the three compartments connected to rivers were constants.

In the first three cases (Case 1 to 3) a single transport pathway was assumed: Case 1 only lateral inflow from soil; Case 2 -only lateral inflow from the litter layer; and Case 3 only direct litter fall into rivers. The transfer rate for each case was estimated from the bulk transfer rate from forests to rivers $\lambda_{forest_to_river}$ (yr⁻¹) at around two years after the fallout. The bulk transfer rate at this time was obtained as the ratio of the annual ¹³⁷Cs discharge via the catchment outlet to the total ¹³⁷Cs inventory in the catchment:

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$$\lambda_{forest_to_river} = \frac{c_{water} \times R}{I}.$$

$$R = P \times a \times r$$
(4)

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Here *R* is the annual runoff (m³ yr⁻¹), *I* is the ¹³⁷Cs total inventory in the catchment (Bq), *P* is the annual precipitation P = 1.2 (m yr⁻¹), *a* is the catchment area (m²) and *r* is the ratio of runoff to precipitation r = 0.6 (dimensionless). The bulk transfer rate $\lambda_{forest_to_river}$ changes over time but can be estimated at certain time points. Yoshimura et al. (2015) showed that the dissolved ¹³⁷Cs concentration of river water (Bq m⁻³) was related to the average catchment inventory (Bq m⁻²) at around two years after the deposition by

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$$c_{water} = 8.6 \times 10^{-5} \times \frac{I}{a}$$
 (5)

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Thus we can derive

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273
$$\lambda_{forest to river} = 6.2 \times 10^{-5} \text{ yr}^{-1}.$$
 (6)

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The ratios of ¹³⁷Cs inventories in forest compartments for two years after the deposition were roughly 60% in soil, 30% in litter layer and 0-5% in leaves/needles, thus we set the transfer rate from soil to river in Case 1 as $6.2 \times 10^{-5} / 0.645 \times 1.5 = 1.4 \times 10^{-4} \text{ yr}^{-1}$, the transfer rate from litter layer to river in Case 2 as $6.2 \times 10^{-5} / 0.345 \times 1.5 = 2.7 \times 10^{-4} \text{ yr}^{-1}$, and the transfer rate from leaves/needles to river in Case 3 as $6.2 \times 10^{-5} / 0.01 \times 1.5 = 9.3 \times 10^{-3} \text{ yr}^{-1}$, respectively. Here, the values of 0.645, 0.345 and 0.01 were assumed instead of 0.6, 0.3 and 0-0.05 so that the sum total is 1.0.

The value of 1.5 was added as a tuning parameter. Tuning was necessary because the 137 Cs concentrations in river water measured by Yoshimura et al. (2015) were about 1.5 times smaller than the more comprehensive measurements from the Ministry of Environment (2018) used to validate the compartment model. Since this study focuses on the time trend of 137 Cs in water and fish rather than the absolute values of 137 Cs concentrations, and the value of 1.5 itself is not significant considering the uncertainties in the other parameters, this tuning step is not considered to affect the conclusions of this study.

Cases 4-6 allowed multiple pathways for ¹³⁷Cs export from forests to river (Table 2). 289Case 4 permitted transfers from the leaf/needle and litter layer compartments to river water. 290291The transfer parameters used meant each transfer pathway contributed 50% of the total discharge flux from forests to rivers at the two year time point (i.e. on March 15, 2013). No 292293flux from the soil was allowed in Case 4. Cases 5 and 6 allowed contributions from all three pathways. The transfer parameters chosen for Case 5 meant that the soil to river water 294pathway constituted 10% of the total forest to river flux, and the litter fall and litter layer 295pathways contributed in equal amounts (i.e. 45% each), at the two year time point. The 296 parameters chosen for Case 6 meant the soil to river pathway contributed 50% of the total flux, 297 and the other pathways 25% each, at the two year point. 298

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300 2.3.3 Other transfer parameters

301 The transfer rate from the river compartment to downstream compartment 302 $\lambda_{river_to_downstream}$ was

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304
$$\lambda_{river_to_downstream} = \frac{R}{V_{river}}$$

$$V_{river} = a \times 0.01 \times 0.4$$
(7)

305

306 where V_{river} is the total river water volume (m³). Thus $\lambda_{river_to_downstream}$ yields

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308
$$\lambda_{river_to_downstream} = 1.8 \times 10^2 \text{ yr}^{-1}$$

309

310 Eq. (7) ensures the mass balance of the system.

The transfer factor from river water (Bq m^{-3}) to freshwater fish (Bq kg⁻¹) was assumed to 311be 1.6 m³ kg⁻¹ based on measured ¹³⁷Cs concentrations in freshwater fish and dissolved ¹³⁷Cs 312concentrations in river water (data from Ministry of Environment, 2018 and Japan Atomic 313 Energy Agency, 2018). The plots in Fig. 3 include data for two different feeding types of fish, 314masu salmon Oncorhynchus masou (resident form), a carnivorous fish, and Japanese dace 315Tribolodon hakonensis, an omnivorous fish. Although previous studies (e.g. Nasvit et al., 316 2007; Wada et al., 2016a) noted ¹³⁷Cs concentrations varied between species, there is no 317obvious difference between two species in the data in Fig. 3. The kinetic rate for transfer from 318 river water to freshwater fish was set based on results from experiments by Fukushima 319 Prefecture (2018c). The Prefecture released uncontaminated fish (masu salmon in resident 320 form) into rivers, and collected them after two days to two months, to measure their ¹³⁷Cs 321concentrations. The results indicated a kinetic rate k_{up} of 2.2 - 4.5 yr⁻¹. In the simulations, 3223.4 yr⁻¹ was adopted which is the middle of this range. The kinetic rate of ¹³⁷Cs excretion k_{ex} 323was assumed to be 2.5 yr⁻¹, which corresponds to a 100 day biological half-life. 324

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326 3. Results and discussions

327 3.1 Fitting initial interception ratios and the transfer rates within forests

Figure 4 shows the measurement and compartment model results for the partitioning of ¹³⁷Cs within forests over a 10 year period from March 15, 2011. The results for each compartment are shown relative to the total forest ¹³⁷Cs inventory. The compartment model data apply after convergence of the fitting process for the initial inventories of the leaf/needle, branch, bark and litter layer compartments and the transfer rates (λ_{ij}) between the forest compartments.

334The weights w_i in the objective function (Eq. (3)) were all set as 1 when fitting the measurements for the coniferous forests in Kawauchi (Fig. 4(b) to (d)). For the deciduous 335336 forest in Otama (OT-Q, Fig. 4(a)), it was necessary to increase the weights w_i for the leaf, litter and soil compartments to 5. This change was made so that the simulations produced 337 good matches with the measurements for ¹³⁷Cs concentrations in compartments linked to 338 rivers (cf. Fig. 4(a) and Fig. S1(a), the latter of which shows results with w_i all equal to 1). 339 Note for the Kawauchi forests, acceptable matches were obtained irrespective of whether 340 341these weights were 1 or 5 (cf. Fig. 4(b) to (d) and Fig. S1(b) to (d)). Tables 3 and 4 summarize the initial interception ratios and the transfer rates obtained from the parameter fitting process 342343(as per results in Fig. 4).

Similar trends of the relative ¹³⁷Cs concentrations in forest compartments could be seen for all forest sites in Fig. 4. The ¹³⁷Cs concentrations in leaves/needles, branches, bark and the litter layer decreased with time, while those in sap wood, heart wood and soil increased to a plateau. At long times the majority of ¹³⁷Cs within the forests was located within the soil.

Measurements from deciduous forests were only available for the konara oak site in Otama village (OT-Q). Thus the transfer rates for OT-Q were adopted as the parameter set for deciduous forests in the hereafter compartment model simulations. Although a slight difference between the simulations and measurements could be seen for the 137 Cs concentration in branches for site OT-Q (Fig. 4(a)), this compartment was not connected directly to river water thus this difference did not have a significant effect on the following discussions. The plateauing of the results at ~10 years was indicative of equilibrium being reached for internal cycling of the 137 Cs within forests.

The internal transfer parameters for coniferous forests were established separately for the three sites where measurement data were available: the hinoki cypress forest (KU1-H) and the Japanese cedar forest plots (KU1-S and KU2-S) in Kawauchi village. The transfer parameters obtained for KU1-S (Fig. 4(c)) were adopted as the reference for coniferous forests in the following simulations, as measurement data were available for within the first year after the fallout for this site only.

362We cross checked the initial interception ratios and the transfer rates estimated by the parameter fitting process with independent measurements from Kato et al. (2017, 2018b). 363 Kato et al. (2017) reported that the canopy interception ratios for a mixed forest, a mature 364365cedar forest, and a young cedar forest of 0.23, 0.69 and 0.70, respectively. As shown in Table 3, the interception ratio for the Otama deciduous forest was 0.32, while the results for the 366 Kawauchi coniferous forests were 0.31-0.55. The results suggest that coniferous forests had 367higher interception ratios than deciduous forests. This is explained by deciduous trees being 368 leafless at the time of the fallout in March 2011. Considering the heterogeneity of the ¹³⁷Cs 369 370 distribution in forest (e.g., Kato et al., 2018a; Takada et al., 2016; Imamura et al., 2018), and the uncertainty in the measurements at the different sites, the interception ratios derived by the 371inverse analysis were in reasonably good agreement with the measurements. 372

Most transfer rates such as root uptake and translocation inside trees cannot be measured directly. Therefore we only compared internal 137 Cs fluxes within forests against measurements of Kato et al. (2018b) of through fall (TF), litter fall (LF) and stem flow (SF) rates (Fig. 5). The measured 137 Cs fluxes (Bq m⁻² yr⁻¹) were normalized by dividing by the deposition inventories for each site (Bq m⁻²). The combined TF and LF fluxes correspond to the transfer from leaves/needles and branches to the litter layer in the compartment model.
The SF flux corresponds to the transfer from bark to the litter layer in the compartment
model.

The graphs in Fig. 5 show large fluctuations between measurement points. The simulated 381¹³⁷Cs fluxes were generally consistent with the order of magnitude of the measurements, 382hence justifying the transfer rates obtained by the inverse fitting analysis. The estimated 383 transfer rates from leaves/needles to the litter layer shown in Table 4, specifically 0.52-1.3 yr⁻¹ 384for coniferous forests and 2.59 yr⁻¹ for deciduous forests, were comparable with the range of 3850.15-1.5 yr⁻¹ for coniferous forests and 0.14-4.3 yr⁻¹ for deciduous forests estimated by 386Hashimoto et al. (2013). The transfer rates from the litter layer to soil, 0.20-0.67 yr⁻¹ for 387 coniferous forests and 0.59 yr⁻¹ for deciduous forests, were in an agreement with the 388Hashimoto et al. (2013) estimates, which were 0.34-6.8 yr⁻¹ and 0.34-5.8 yr⁻¹ respectively. 389

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391 3.2 Transfer processes of ¹³⁷Cs from forests to freshwater fish

392After confirming the applicability of the forest parameters obtained by the inverse fitting process, we proceeded to evaluate feasible transfer parameters for ¹³⁷Cs export from forests to 393 rivers by numerical exploration of the parameter space. Figure 6 shows the results of Case 1 394 to 3 simulations and measurements for the relative ¹³⁷Cs concentrations in river water 395(dissolved) and in freshwater fish (Ministry of Environment, 2018; Japan Fisheries Research 396 and Education Agency, 2017). The measurement samples were collected from the Abukuma 397 River, which flows from south to north through central Fukushima Prefecture, its tributaries, 398 and several rivers in the coastal area of the Prefecture. Measured concentrations of ¹³⁷Cs 399 dissolved in river water and in fish were normalized by the mean inventory of the area 400 401upstream of the locations where samples were taken (using data from the second airborne survey, Nuclear Regulation Authority, 2018; data downloaded from Japan Atomic Energy 402

Agency, 2018). In Case 1, the relative concentrations of ¹³⁷Cs dissolved in river water and within fish increased over time. This is because as ¹³⁷Cs migrated over time into the forest soil layer, this ¹³⁷Cs became available in the model for export to rivers. The results of Case 1 were not consistent with the measurements, which show a gradual decrease of the relative ¹³⁷Cs concentrations over time. Therefore ¹³⁷Cs export from forests due to litter fall into rivers and lateral inflows from the litter layer could not be ignored.

Cases 2 and 3, where only litter layer or litter fall to river fluxes where allowed respectively, showed decreasing relative ¹³⁷Cs concentrations over time. The rates of decrease were slightly higher than that for the measurements, however. Collectively these results demonstrate that a single pathway cannot explain the measured trend of ¹³⁷Cs concentrations in freshwater fish.

The results of the simulation cases allowing multiple export pathways are shown in Fig. 414 4157. Case 4 allowed both the litter fall and litter layer transfers to river water. Comparing with Cases 2 and 3, Case 4 yielded results closer to the monitoring data (cf. Fig. 7(a) and (b) with 416 417Fig. 6(a) and (b)) for the initial two year period. However for later years Case 4 underestimated the relative ¹³⁷Cs concentrations. This result suggests that the soil to river 418 water transfer pathway cannot be ignored. This is reasonable as, in later years, the majority of 419¹³⁷Cs within forests is located within soil. Therefore soil to river transfer is likely to be an 420421important contributor of ¹³⁷Cs input to river water in later years.

In Cases 5 and 6, the transfer pathways from forest soil to river water were switched on. In Case 5, 10% of the total dissolved 137 Cs input to river water at the two year time point was attributable to discharge from the soil layer. The results of Case 5 were closest to the measurements (Fig. 7). Case 6 tended to overestimate the monitored 137 Cs concentrations for later years. This suggests the transfer rate from soil to river water was too large in Case 6. The above results suggest that the trend of 137 Cs concentrations dissolved in river water and within fish is attributable the changes in concentration levels in leaves, needles, the litter layer andsoil in forests over time.

Figure 8 shows the components of the ¹³⁷Cs fluxes into river water calculated from Case 5. During the first two years, this model indicated the transfer from leaves and needles (direct litter fall) followed by transfer from the litter layer were the dominant processes. There was a cross-over at two years, upon which transfer from the litter layer became most significant until the 4.5 year time point. After 4.5 years, flux from soil became the most important export pathway to river water. The contributions of the three pathways predicted in this model stabilized at around ten years as the ¹³⁷Cs cycling within forests reaches equilibrium.

437 It has been reported that there are two or three different characteristic timescales for the decrease in ¹³⁷Cs concentrations dissolved in river water and within freshwater fish (e.g., 438Smith et al., 2002; Nakanishi and Sakuma, 2018). Analyses of fallout from atmospheric 439440 nuclear weapons testing and the Chernobyl accident suggest that the radiocesium removal rate from catchments is related to soil properties such as clay mineral and organic content (Smith 441et al., 2002; Spezzano et al., 1993), however these factors were not analyzed in this study. The 442assumptions and judgements taken in this study yielded a model where the different 443characteristic timescales are explained by the redistribution of ¹³⁷Cs that occurs within forests, 444445rather than differences in soil properties. In the initial stage after fallout, the fast rate of decrease of ¹³⁷Cs concentrations in river water and fish was attributed to the fast decrease of 446 ¹³⁷Cs concentrations in forest litter, leaves and needles. On the other hand, the long term 447slower rate of decrease is controlled by the export of ¹³⁷Cs from forest soil in our 448 compartment model. Since radiocesium is strongly fixed to mineral particles in soil, the 449 organic component in soil is likely to be the most important component for dissolved ¹³⁷Cs 450export from the soil layer if our assumptions hold true. 451

452 The rate of export of ¹³⁷Cs from forest soil will likely depend on the distribution of the

¹³⁷Cs with depth and existing forms within the soil. These factors cannot be accounted in the 453454current compartment model, unless modifications are made, as the soil to river water export rate was assumed to be constant. Previous measurements in Fukushima Prefecture (e.g., 455Imamura et al., 2017; Nakanishi et al., 2014) have shown gradual migration of ¹³⁷Cs deeper 456into forest soil over time, albeit with a slow rate. Studies of the Chernobyl accident and global 457nuclear weapons fallout (e.g., International Atomic Energy Agency, 2006; Koarashi et al., 4582017) reported ¹³⁷Cs remained mostly in the upper layers of forest soil. Further studies in 459Fukushima revealed that organic matter in forest soil played an important role in retaining 460 ¹³⁷Cs (Koarashi et al., 2019; Koarashi and Atarashi-Andoh, 2019). According to our 461compartment model, affected species of freshwater fish in catchments with forests will 462 continue to take up ¹³⁷Cs over the long term. This is due to the continuous input of dissolved 463¹³⁷Cs into river water over time from the forest soil layer in the model. However a firm 464 conclusion cannot be drawn as the effects of ¹³⁷Cs migration into soil and fixation to clay 465mineral need to be assessed with a more detailed model. 466

The measured ¹³⁷Cs concentrations in two fish species with different feeding habits are 467within an order of magnitude of each other (Fig. 7(b)). This implies that the source of the 468 ¹³⁷Cs within these fish is basically the same even if the food chains are different. However, the 469 spread of the ¹³⁷Cs concentrations measured within fish (Fig. 7(b)) is larger than that for 470dissolved ¹³⁷Cs concentrations within river water (Fig. 7(a)). This may be due to the wide 471variety of sizes of individuals and supporting food chains for different species. Simulation 472Case 5 reproduced the trend of the measurements from six years of monitoring best (Fig. 7). 473474Continued monitoring is needed for future years to check whether the curve predicted by Case 5 is true, and to understand long-term freshwater fish ¹³⁷Cs concentrations and uptake 475476mechanisms.

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This study focused on mountainous catchments covered by forests as these are typical

catchments in Fukushima Prefecture. However, the modeling concept used here, that
considers the link between the overland ¹³⁷Cs behavior and rivers, is expected to be useful for
other types of catchment. This is because the chemical components in rivers are always
affected by the overland environment.

482

483 4. Conclusions

In this paper a compartment model was developed to assess catchment-scale migration of 484¹³⁷Cs and evaluate three potential transport pathways of ¹³⁷Cs from forests to fish living in 485mountain streams. Under the modelling assumptions used, the decreasing trend over time of 486¹³⁷Cs concentrations dissolved in river water and within freshwater fish was explained by the 487 decreasing trend of ¹³⁷Cs concentrations in leaves/needles and litter layer and the increasing 488trend in organic soil. The fluxes predicted from the model reached equilibrium at around ten 489years after the initial fallout due to ¹³⁷Cs circulation within forests reaching a steady state. 490 Modelled reductions in ¹³⁷Cs concentrations in river water and freshwater fish over the long 491term are then controlled by the rate of physical decay of 137 Cs. 492

This paper focused only on species of freshwater fish living in mountain streams with short water residence times. In such an "open" system, ¹³⁷Cs flux from forests controls the contamination levels of the fish. However, for fish in "closed" systems with long residence times, such as lakes, the internal circulation of ¹³⁷Cs within the system is more complex, and transfers between water, bed sediment and levels of the food chain should be considered. Further field investigations and modelling improvements are required to understand the sources of ¹³⁷Cs taken up by freshwater fish in closed systems.

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501 Acknowledgments

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The authors would like to appreciate the reviewers for their precious comments and

suggestions on the manuscript. We thank members of the Fukushima Environmental Safety
Center for their support for the study. We also appreciate M. Kanno and T. Sugita for their
assistance with respect to the simulations.

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 relationship with catchment inventory. J. Environ. Radioact. 139, 370-378. doi:
 10.1016/j.jenvrad.2014.08.021.



Fig. 1 Schematic of the compartments in the model.

comp., from	to	<i>j</i> =1 Leaves/ needles	2 Branches	3 Bark	4 Sap wood	5 Heart wood	6 Litter layer	7 Soil	8-14 Coniferous forest	15 River	16 Down- stream	Fish
	Atomo- sphere	intercept.	intercept.	intercept.			intercept.		intercept.	direct fallout		
	Deciduous forest											
<i>i</i> =1	Leaves/ needles	-	translo- cation	weather- ing			litter fall, weathering			direct litter fall		
2	Branches	translo- cation	-	weather- ing	translo- cation	translo- cation	litter fall, weathering					
3	Bark			-	translo- cation		weathering					
4	Sap wood		translo- cation	translo- cation	-	translo- cation						
5	Heart wood				translo- cation	-						
6	Litter layer				root uptake		-	decomp., infiltrate.		lateral inflow		
7	Soil				root uptake			-		lateral inflow		
8-14	Coniferous forest (compartme nts same as deciduous forest)								same as deciduous forest	Direct litter fall, lateral inflow		
15	River									-	river flow	uptake

Fig. 2 Interaction matrix of the compartments. Processes listed in each light shaded box represent the transfer processes modelled from compartment i to compartment j. Blank interactions means there no transfer between those compartments.



736 Fig. 3 Relationship between fish and dissovled river water ¹³⁷Cs concentrations.

737 Measurements by Ministry of Environment (2018).



743

Fig. 4 Relative ¹³⁷Cs inventory of forest compartments after inverse fitting for transfers parameters and initial state. Graphs show measurements from Ministry of Agriculture, Forestry and Fisheries (2018) (symbols) and compartment model results (lines). (a) Konara oak forest in Otama (OT-Q) – leaf, litter and soil compartment w_i =5. (b) Hinoki forest in Kawauchi (KU1-H), (c) sugi cedar forest in Kawauchi (KU1-S), and (d) second sugi cedar forest in Kawauchi (KU2-S) – all w_i =1.



Fig. 5 Comparison of ¹³⁷Cs fluxes from the canopy to the forest floor between the parametrized simulations and independent measurements by Kato et al. (2018b): (a) simulated konara oak forest at Otama compared with the Kawamata mixed forest, (b) simulated sugi cedar compared with Kawamata cedar forests.



Fig. 6 Simulation results of Case 1 to 3 compared with measurements by the Ministry of Environment (2018) and the Japan Fisheries Research and Education Agency (2017): ¹³⁷Cs concentrations in (a) river water and (b) freshwater fish.



Fig. 7 Simulation results of Case 4 to 6 compared with measurements: ¹³⁷Cs concentrations in

(a) river water and (b) freshwater fish.



Fig. 8 Normalized relative fluxes of dissolved ¹³⁷Cs input into river water in Case 5.

767 Table 1 Concentrations of ¹³⁷Cs in muscle of major freshwater fish species in Fukushima

768 Prefecture.

	Concentration of ¹³⁷ Cs (Bq kg ⁻¹)				
Species	Outside the evacuation zone	Inside the evacuation zone			
Species	(April 2018 to March 2019)	(caught in 2016)			
	MAFF (2018a)	Fukushima Prefecture (2018b)			
Ayu (Plecoglossus altivelis)	N.D 53.5	Up to 2000			
Masu salmon (Oncorhynchus masou) (resident	ND 126	Up to 20000			
form)	N.D 128	001020000			
White-spotted char (Salvelinus leucomaenis)	N.D 195	n.a.			
Japanese dace (Tribolodon hakonensis)	N.D 50.4	n.a.			
Japanese eel (Anguilla japonica)	N.D 22.8	n.a.			
Common carp (Cyprinus carpio)	N.D 23.9	n.a.			

769 N.D.: not detected. The detection limit is about 5 to 10 Bq kg⁻¹. n.a.: no applicable measurements.

Table 2 Simulation cases for parameter exploration.

		Transfer rates (yr ⁻¹)					
		(percentage of the total discharge flux from forests at two years)					
		From leaves/needles to river From litter layer to river From soil to river					
Case 1	Single process	0	0	1.4E-4 (100%)			
Case 2	Single process	0	2.7E-4 (100%)	0			
Case 3	Single process	9.3E-3 (100%)	0	0			
Case 4	Multiple processes	4.7E-3 (50%)	1.4E-4 (50%)	0 (0%)			
Case 5	Multiple processes	4.2E-3 (45%)	1.2E-4 (45%)	1.4E-5 (10%)			
Case 6	Multiple processes	2.3E-3 (25%)	6.8E-4 (25%)	7.0E-5 (50%)			

Table 3 Initial fallout interception ratios estimated by inverse fitting analysis.

	Initial interception ratio						
	Deciduous forest	Coniferous forest					
	Konara oak forest	Hinoki cypress forest	Sugi cedar forest	Sugi cedar forest			
	in Otama (OT-Q)	in Kawauchi (KU1-H)	in Kawauchi (KU1-S)	in Kawauchi (KU2-S)			
Simulation results							
Leaves/needles	0.28	0.20	0.34	0.13			
Branches	0.02	0.08	0.17	0.26			
Bark	0.02	0.03	0.04	0.01			
Tree total	0.32	0.31	0.55	0.41			
Measurement (Kato et al.,	0.23 for the mixed	0.69 for the mature cedar forest and 0.70 for the young cedar forest					
2017)	forest						

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Transfer process	Transfer rates (yr ⁻¹)						
	Konara oak forest	Hinoki cypress forest	Sugi cedar forest	Sugi cedar forest			
	in Otama	in Kawauchi	in Kawauchi	in Kawauchi			
	(OT-Q)	(KU1-H)	(KU1-S)	(KU2-S)			
Leaves/needles to branches	3.8 x 10 ⁻⁴	8.0 x 10 ⁻³	2.6 x 10 ⁻³	5.3 x 10 ⁻³			
Leaves/needles to bark	5.4 x 10 ⁻⁵	2.3 x 10 ⁻⁴	2.1 x 10 ⁻³	1.7 x 10 ⁻³			
Leaves/needles to litter layer	2.6	0.52	1.3	0.69			
Branches to leaves	0.26	0.29	0.44	0.30			
Branches to bark	1.2 x 10 ⁻⁵	3.3 x 10 ⁻⁴	1.1 x 10 ⁻⁴	2.4 x 10 ⁻⁴			
Branches to sap wood	7.2 x 10 ⁻⁴	4.8 x 10 ⁻⁴	1.8 x 10 ⁻²	2.5 x 10 ⁻³			
Branches to heart wood	1.8 x 10 ⁻³	1.4 x 10 ⁻³	6.7 x 10 ⁻³	2.3 x 10 ⁻³			
Branches to litter layer	1.7 x 10 ⁻²	0.29	0.45	0.18			
Bark to sap wood	4.9 x 10 ⁻²	4.2 x 10 ⁻²	1.7 x 10 ⁻²	7.8 x 10 ⁻²			
Bark to litter layer	0.20	4.2 x10 ⁻²	0.15	1.3 x 10 ⁻²			
Sap wood to branches	1.4	0.44	2.3	0.45			
Sap wood to bark	3.6 x 10 ⁻²	2.3 x 10 ⁻²	0.16	8.8 x 10 ⁻²			
Sap wood to heart wood	6.0	6.8	4.8	4.2			
Heart wood to sap wood	13	11	4.0	5.0			
Litter layer to sap wood	1.1 x 10 ⁻⁴	2.3 x 10 ⁻⁴	2.7 x 10 ⁻⁵	7.2 x 10 ⁻⁴			
Litter layer to soil	0.59	0.20	0.67	0.26			
Soil to sap wood	1.9 x 10 ⁻³	5.7 x 10 ⁻³	7.4 x 10 ⁻³	6.9 x 10 ⁻³			

Table 4 Transfer rates between forest compartments estimated by inverse fitting analysis.

Supplementary Information to Numerical study of transport pathways of ¹³⁷Cs from forests to 776







Fig. S1 As per Fig. 4 of main text, but showing compartment results obtained using different 782weights w_i in the parameter fitting process. (a) Konara oak forest in Otama (OT-Q) – all 783compartments wi=1. (b) Hinoki forest in Kawauchi (KU1-H), (c) sugi cedar forest in 784Kawauchi (KU1-S) and (d) second sugi cedar forest in Kawauchi (KU2-S) – needle, litter and 785786soil compartments $w_i=5$.